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on <u>March *3*</u>, 2004.

Barbara Ce. Terzetti Signature

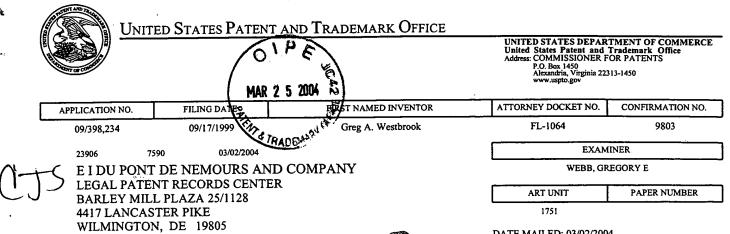
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09/398,234 FL-1064 US NA

Request for Continued Examination (RCE) Transmittal Response to Supplemental Notice of Allowability Copy of Supplemental Notice of Allowability Fee Transmittal Post Card Receipt



DATE MAILED: 03/02/2004

RECEIVED

MAR 09 2004

Chyrrea J. Sebree

Please find below and/or attached an Office of the Communication concerning this application or proceeding.

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Supplemental \ Notice of Allowabili		09/3 Exa
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Application No.

O9/398,234

Examiner

Applicant(s)

WESTBROOK ET AL.

Art Unit

1751

Gre	gory E. Webb	1751
The MAILING DATE of this communication appears of All claims being allowable, PROSECUTION ON THE MERITS IS (OR Inherewith (or previously mailed), a Notice of Allowance (PTOL-85) or oth NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS of the Office or upon petition by the applicant. See 37 CFR 1.313 and	REMAINS) CLOSED in this a her appropriate communicati S. This application is subjec	application. If not included ion will be mailed in due course. THIS
1. This communication is responsive to telephonic interview 2/23/0	<u>14</u> .	
2. ☑ The allowed claim(s) is/are <u>1-6 and 9-16</u> .		
3. The drawings filed on are accepted by the Examiner.	•	
 4. Acknowledgment is made of a claim for foreign priority under 3 a) All b) Some* c) None of the: 1. Certified copies of the priority documents have been 2. Certified copies of the priority documents have been 3. Copies of the certified copies of the priority documents international Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" of this noted below. Failure to timely comply will result in ABANDONMENT THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 	n received. n received in Application No. nts have been received in th s communication to file a rep	is national stage application from the
5. A SUBSTITUTE OATH OR DECLARATION must be submitted. INFORMAL PATENT APPLICATION (PTO-152) which gives rea	Note the attached EXAMINE ason(s) why the oath or declar	ER'S AMENDMENT or NOTICE OF aration is deficient.
 6. CORRECTED DRAWINGS (as "replacement sheets") must be seen as including changes required by the Notice of Draftsperson's and the latter of the la	Patent Drawing Review (PT endment / Comment or in the	e Office action of wings in the front (not the back) of
DEPOSIT OF and/or INFORMATION about the deposit of attached Examiner's comment regarding REQUIREMENT FOR	BIOLOGICAL MATERIA	L must be submitted. Note the
Attachment(s) 1. Notice of References Cited (PTO-892) 2. Notice of Draftperson's Patent Drawing Review (PTO-948) 3. Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. ☐ Interview Summa Paper No./Mail I 7. ☐ Examiner's Amer	Date

U.S. Patent and Trademark Office PTOL-37 (Rev. 1-04)

	Application No.	Applicant(s)		
Interview Summary MAR 2 5 2004 %	09/398,234	WESTBROOK ET AL.		
	Examiner	Art Unit		
	Gregory E. Webb	1751		
All participants (applicant, applicant's representative PTO personnel):				
(1) <u>Gregory E. Webb</u> . (3) <u>Yogendra Gupta</u> .				
(2) <u>SEBREE, CHYRREA</u> . (4)				
Date of Interview:				
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2)□ applicant's representative]				
Exhibit shown or demonstration conducted: d) Yes e D No. If Yes, brief description:				
Claim(s) discussed: <u>16</u> .				
Identification of prior art discussed:				
Agreement with respect to the claims f)⊠ was reached. g)□ was not reached. h)□ N/A.				
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>It was agreed that the PTOL-37 should have indicated that claim 16 was also allowable</u> . The instant PTOL-37 should supercede previous PTOL-37 documents for this case.				
(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)				
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.				
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Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

Summery of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,

(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)

- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Application/Control Number: 09/398,234

Art Unit: 1751

REASONS FOR ALLOWANCE

1. The following is an examiner's statement of reasons for allowance:

2. Claims 1-6, and 9-16 are allowed. The examiner incorrectly indicated on the original PTOL-37 (dated 12/19/2003) that claims 1-6, and 9-15 are allowed. The examiner has corrected this error in the instant letter and indicated that claims 1-6, and 9-16 are allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gregory E. Webb whose telephone number is 571-272-1325. The examiner can normally be reached on 9:00-17:30 (m-f).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yogendra Gupta can be reached on 571-272-1316. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Gregory E. Webb Primary Examiner

gw